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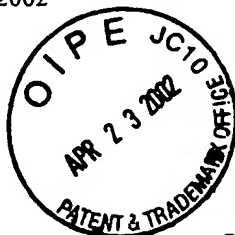
UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/039,291	01/03/2002	Colette Cozean	COZEAN.001A

20995

KNOBBE MARTENS OLSON & BEAR LLP
620 NEWPORT CENTER DRIVE
SIXTEENTH FLOOR
NEWPORT BEACH, CA 92660



CONFIRMATION NO. 8339

FORMALITIES LETTER



OC000000007502302

COPY OF PAPERS
ORIGINALLY FILED

Date Mailed: 02/20/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$231.
 - \$189 for 21 total claims over 20.
 - \$42 for 1 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 666.

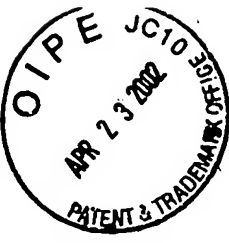
A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/24/2002 HNDRI 00000028 10039291
01 FC:201 370.00 DP
02 FC:205 65.00 DP
03 FC:202 42.00 DP
04 FC:203 189.00 DP



COPY OF PAPERS
ORIGINALLY FILED

Case Docket No. COZEAN.001A

Date: April 19, 2002

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Cozean, et al.
App. No. : 10/039,291
Filed : January 3, 2002
For : METHOD AND APPARATUS
FOR PREVENTING TOOTH
DECAY

) I hereby certify that this correspondence and all marked
) attachments are being deposited with the United States
) Postal Service as first class mail in an envelope addressed
) to: United States Patent and Trademark Office, P.O. Box
) 2327, Arlington, VA 22202, on
) April 19, 2002
) (Date)
) Jennifer A. Haynes
) Jennifer A. Haynes, Ph. D., Reg. No. 48,868
)
)

Group Art Unit : 1615

TRANSMITTAL LETTER

United States Patent and Trademark Office
P.O. Box 2327
Arlington, VA 22202

ATTENTION: BOX MISSING PARTS

Dear Sir:

In response to the Notice to File Missing Parts of Application Under 37 CFR 1.53(f), which was mailed by the Office on February 20, 2002, enclosed are:

- (X) An executed Declaration by Inventors.
- (X) The present application qualifies for small entity status under 37 C.F.R. § 1.27.
- (X) A Notice to File Missing Parts.
- (X) Return prepaid postcard.
- (X) Fees as calculated below:

PATENT

Case Docket No. COZEAN.001A

Date: April 19, 2002

FILING FEE					\$ 370
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SURCHARGE 37 CFR 1.16(e)					\$ + 65
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Total Claims	41	- 20 =	21	×	\$9	\$ 189
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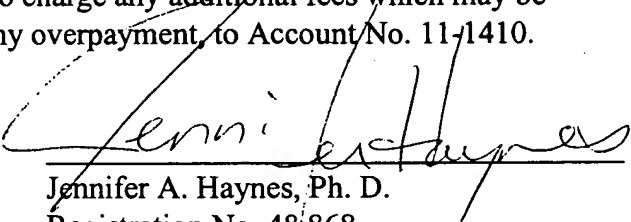
Independent Claims	4	- 3 =	1	×	\$42	\$ 42
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TOTAL OF ABOVE CALCULATIONS						\$ 666
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TOTAL FEES SUBMITTED HERewith						\$ 666
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(X) A check in the amount of \$666 to cover the above fees is enclosed.

(X) The Commissioner is hereby authorized to charge any additional fees which may be required, now or in the future, or credit any overpayment, to Account No. 11-1410.


Jennifer A. Haynes, Ph. D.
Registration No. 48,868
Agent of Record